



RegTech Insight
Awards Europe 2024

RegTech Insight Awards Europe 2024 Winners' Report

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About RegTech Insight Awards Europe 2024

Congratulations to the winners of A-Team Group's RegTech Insight Awards Europe 2024. The awards recognise both established providers and innovative newcomers providing RegTech solutions to capital market participants that significantly improve their ability to respond effectively to evolving and ever more complex regulatory requirements. The awards were announced on 23 May 2024.

This year's RegTech Insight Awards Europe included more than 30 categories ranging from Best Solution for Sell-Side Regulatory Compliance to Best Transaction Reporting Solution, Best Trade Surveillance Solution, Best Client On-Boarding Solution, Best Cloud-Based Solution for Regulatory Compliance, Best Solution for Buy-Side Regulatory Compliance, Best Solution for Sanctions Management, Best Regulatory Data Solution, and more.

An editor's recognition award for European RegTech Industry Professional of the Year was given to Dawd Haque, Global Lead Market Initiatives, Regulatory Transformation and Strategy at Deutsche Bank.

Thank you to all the vendors that entered A-Team Group's RegTech Insight Awards Europe 2024, to our RegTech Insight community that voted for its preferred solutions, and to our independent, expert advisory board that worked in collaboration with our editorial team to select this year's winners.

Finally, our compliments to all the award winners, who should be deservedly proud of their achievement in a competitive contest.

If you would like your company to be considered for future RegTech Insight Awards in Europe, the US or APAC, [click here](#), where you can either enter your details to be notified when we open for nominations, or submit your entry if we are already open for nominations.

I'd personally like to thank our advisory board for their time and valuable input.

Chris Beevor

UK MLRO and Group Compliance COO
GAM

Dawd Haque

Global lead for Regulatory Market Initiatives, Transformation & Strategy
Deutsche Bank AG

Ian Hollowbread

COO Digital Innovation
ING

Richard Maton

RegTech Advisor

Yasmine Li

Head of Surveillance EMEA
Macquarie Group

Niresh Rajah

Chief Data & AI Officer
DLA Piper

Colin Ware

Regulatory Product Manager
Former BNY Mellon

Dr. Ruth Wandhöfer

Global FinTech 50 influencer, WIF
Powerlist Senior Leader 2020, Partner
Gauss Ventures

David Cowland

Independent RegTech Advisor

Adriana Ennab

Director of Public Policy
Credit Suisse



Andrew Delaney

President & Chief Content Officer
A-Team Group

SmartStream Technologies

Winner: Best Solution for Sell-Side Regulatory Compliance

SmartStream leads the way in providing validated reference data across various asset classes, including commodity derivatives.

With the EMIR Refit reporting deadline in April for the EU and approaching on September 30 for the UK, the landscape of derivatives reporting is undergoing significant changes. The update brings an increase in reportable attributes and the adoption of the ISO20022 XML standard, requiring two-sided reporting and emphasizing the thorough matching of reports. These changes present additional challenges for commodities and energy reporting due to extra fields and a lack of standardisation.

SmartStream's EMIR Refit Data Management Service simplifies compliance by offering accurate, validated reference data sourced from direct exchange feeds, contract specifications, ESMA, FCA, and ANNA DSB. Our comprehensive coverage includes ETD and essential attributes for OTC securities, utilising adaptable models and formats that support straight-through processing.

As the EU deadline has passed, it is crucial for UK firms to prepare for the upcoming September 30 deadline. SmartStream is committed to supporting its customers through this transition. Our Reference Data Services (RDS) deliver precise and validated reference data, while our enhanced derivatives data service provides detailed insights into futures-related transactions. This empowers our customers to navigate regulatory complexities with confidence and ensures full compliance with EMIR Refit reporting requirements.



About SmartStream Technologies



SmartStream is a recognised leader in financial transaction management solutions that enable firms to improve operational control, reduce costs, build new revenue streams, mitigate risk and comply accurately with the regulators. By helping its customers through their transformative digital strategies, SmartStream provides a range of solutions for the transaction lifecycle with AI and machine learning technologies embedded - which can be deployed in the cloud or as managed services.

www.smartstream-stp.com



www.regtechinsight.com

Editor's Recognition Award for European RegTech Industry Professional of the Year

Dawd Haque Global Lead Market Initiatives, Regulatory Transformation & Strategy, Deutsche Bank

Dawd is the Global lead for the COO Regulatory Market Initiatives function at Deutsche Bank. He advises the business on the Regulatory implications of new business and transactions. Dawd represents Deutsche Bank on multiple Trade Association Committees and Working Groups at ISDA, FIA, GFMA and ICMA. He has worked at Barclays, Bank of America, Goldman Sachs, Northern Rock, BNP Paribas, JP Morgan, Standard Chartered Bank, UBS and the EBRD in various Front Office and Regulatory roles.



Winner: Best Know Your Customer Solution

AML Partners

AML Partners specializes in KYC on-boarding and AML solutions that facilitate best practices in AML Compliance. We partner with clients around the globe, and we work extensively with financial institutions with complex international books of business as they strive for AML Compliance and KYC/AML success. A founder-driven firm, AML Partners strives to deliver user-friendly innovation on our no-code RegTechONETM platform. The success and satisfaction of our clients drives the work of AML Partners, and we are steadfastly committed to helping prevent financial crime.

www.amlpartners.com



Winner: Best Post Trade Solution

Broadridge

Broadridge, a global Fintech leader with more than \$6 billion in revenues, provides the critical infrastructure that powers investing, corporate governance and communications to enable better financial lives. We deliver technology-driven solutions that drive digital transformation for our clients and help them get ahead of today's challenges to capitalize on what's next.

www.broadridge.com



Frank Cummings, CEO



Danny Green, Head of International Post-Trade



Winner: Best Transaction Reporting Solution

Duco

Duco is the enterprise platform for data automation - our mission is to reduce time spent on data-related work by 90%. We do this by empowering finance and operations teams with no-code, AI-powered technology that removes the manual work, cost and risk associated with managing their mission-critical data.

du.co



Steve Walsh, Product and Solutions Director



Winner: Best Solution for Managing Operational Resilience

Fusion Risk Management

Fusion Risk Management is a leading provider of cloud-based operational resilience and business continuity software that empowers our clients to be agile in times of cascading crises. We help organizations drive the proactive business continuity and risk strategies they need to face growing threats and ensure their operations can bend but not break when faced with any challenge. More than 400 global organizations rely on Fusion's solutions to unify risk across their enterprise, make data-driven decisions, and work seamlessly with their critical third parties to sense risk and mitigate disruptions.

www.fusionrm.com



Winner: Best Trade Surveillance Solution

First Derivative & KX

Driven by people, data and technology, First Derivative unlocks the value of insight, hindsight, and foresight to drive organisations forward. Counting many of the world's leading investment banks as clients, First Derivative helps its clients navigate the data-driven, digital revolution that is both disrupting and transforming the financial services sector. The Group operates from 16 offices across Europe, North America and Asia Pacific and employs approximately 2,000 people worldwide.

First Derivative - Delivering business agility for capital markets



Christopher Owers, Practice Director - Global Head of Regulatory Compliance Solutions



Winner: Best Client On-Boarding Solution

KYC Portal CLM

KYC Portal CLM is a digital transformation and orchestration client lifecycle management tool that centralises all aspects of AML to achieve real time, risk driven workflow throughout the due diligence of counterparts, from onboarding all throughout the ongoing aspects of KYC such as risk-based approach, reporting, document requests, automated risk-based questionnaires etc.. It also allows you to define and manage all your regulatory and policy requirements within its no-code configuration system.

www.kycportal.com



Nickii Mallia, Business Development Manager



Winner: Best Cloud-Based Solution for Regulatory Compliance

Nasdaq AxiomSL

Nasdaq (Nasdaq; NDAQ) is a leading global technology company serving corporate clients, investment managers, banks, brokers, and exchange operators as they navigate and interact with the global capital markets and the broader financial system. We aspire to deliver world-leading platforms that improve the liquidity, transparency, and integrity of the global economy. Our diverse offering of data, analytics, software, exchange capabilities, and client-centric services enables clients to optimize and execute their business vision with confidence.

www.nasdaq.com



Winner: Best Solution for FRTB

Nasdaq Calypso and AxiomSL

Nasdaq (Nasdaq; NDAQ) is a leading global technology company serving corporate clients, investment managers, banks, brokers, and exchange operators as they navigate and interact with the global capital markets and the broader financial system. We aspire to deliver world-leading platforms that improve the liquidity, transparency, and integrity of the global economy. Our diverse offering of data, analytics, software, exchange capabilities, and client-centric services enables clients to optimize and execute their business vision with confidence.

www.nasdaq.com



Winner: Best e-Comms Surveillance Solution

NICE Actimize

NICE Actimize's SURVEIL-X Communication provides comprehensive surveillance coverage for all communication modalities (email, chat, video, and voice), asset classes and languages, in a single cloud-ready solution. SURVEIL-X Communication includes advanced features like natural language understanding (NLU), built-in transcription, contextual querying, integrated case management and interactive dashboards, along with proven risk detection models which can help you weed out false positives, bolster efficiency, drive down costs and reduce regulatory risk.

www.niceactimize.com



Lee Garf, General Manager, Compliance

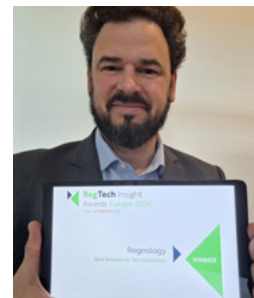


Winner: Best Solution for Tax Compliance

Regnology

Regnology is a leading technology firm on a mission to bring safety and stability to the financial markets. With an exclusive focus on regulatory reporting and more than 35,000 financial institutions, 70 regulators, international organizations, and tax authorities relying on our solutions to process their regulatory reporting data, we're uniquely positioned to bring greater data quality, efficiency, and cost savings to all market participants. For more information about Regnology, connect with us on LinkedIn and Twitter.

www.regnology.net



Fabrice Chatelain, Product Director



Winner: Best Solution for Buy-Side Regulatory Compliance

S&P Global Market Intelligence Cappitech

S&P Global Market Intelligence Cappitech provides regulatory reporting, best execution analysis and business intelligence solutions that meet the fast-evolving needs of the largest and most complex financial and non-financial institutions. Our cloud-based, cross-regulation SaaS platform fully automates the reporting process and provides a comprehensive view on a single, intuitive dashboard for reporting regimes such as EMIR, MiFID, SFTR, FinfraG, SEC, CFTC, Canadian reporting, ASIC, MAS, JFSA, HKMA and others.

www.cappitech.com



Struan Lloyd, Managing Director, Head of Cappitech

Cappitech
S&P Global
Market Intelligence

Winner: Best Solution for Sanctions Management

SIX

SIX is a leading global provider of data and value-added services for the financial markets and investment industry. Aggregated in real-time from 5,000 worldwide sources, Financial Information's data offering covers all the major trading venues. The database includes reference, pricing and market data, corporate actions, regulatory, tax, ESG and alternative data for nearly 30 million instruments. SIX provides a wide range of services supporting the business of sell-side clients, using data to make a difference.

six-group.com/financial-information



Oliver Bodmer, Senior Product Manager, Financial Information



Winner: Best Risk Calculation Engine for Regulatory Compliance

Vneuron

Vneuron is a leading provider of cutting-edge Anti-Money Laundering compliance technology, renowned for delivering highly scalable, comprehensive, and robust solutions that can be extensively customized to meet the unique requirements of all financial institutions and Fintechs. Vneuron's innovative platform Reis™ RCS empowers organizations to seamlessly integrate and adapt to diverse regulatory landscapes with confidence. By harnessing advanced analytics and automation, Vneuron enables efficient risk mitigation and ensures proactive compliance.

www.vneuron.com



Mahmoud Mhiri, Executive Partner & Ghassen Hamrouni, Executive Partner



More RegTech Insight Awards Europe 2024 winners

Best Regulatory Alert Management Solution

ACTICO

Best Regulatory Data Solution

Bloomberg

Best ESG Regulatory Solution

Clearwater Analytics

Best Distributed Ledger Technology for Regulatory
Compliance

Cygnatise

Best Solution for Regulatory Change Management

Kaizen

Best Solution for EMIR

MAP FinTech

Best Regulatory Consultancy - Europe

MAP S.Platis

Best AI Solution for Regulatory Compliance

Moody's

Best Data Privacy Solution

ACA Compliance

Best Regtech Start Up for Institutional Markets

CleverChain

Best Compliance as a Service Solution

ComplyCube

Best Solution for Records Retention

ION / LIST

Best Regulatory Reporting Solution

LSEG Post Trade Regulatory Reporting

Best Solution for Digital Transformation in Regulatory Compliance

Napier

Best Financial Crime Solution

Oracle

Best Solution for Stress Testing

Quantifi

Best Regulatory Intelligence Solution

RegHub

Best Analytics Solution for Capital Requirements /
Liquidity Risk

Regtify

Best Solution for Managing Operational Risk

Ruleguard

Best Conduct Risk Solution

StarCompliance

A-TEAMGROUP

For Marketing: www.a-teamgroup.com

For Content: www.a-teaminsight.com